s22(1)(a)(ii)

s22(1)(a)

(ii)



Human Resources Delegations and Authorisations

Public Service Act 1999

Public Service Regulations 1999

Australian Public Service Commissioner's Directions 2016

Public Service Classification Rules 2000

s22(1)(a)(ii)

I, Heather Smith, Secretary of the Department of Industry, Innovation and Science, hereby:

- 1. Revoke all previous 'Departmental' delegations in respect to the powers and functions which are the subject of this instrument.
- 2. Delegate, pursuant to the delegation powers specified below:
 - a. under those provisions specified in the column titled 'Source' of the attached Human Resources Delegations Matrix (Matrix);
 - b. the powers and functions specified in the column titled 'Description of power or function that has been delegated or authorised' of the Matrix;
 - c. to the officials within the Department of Industry, Innovation and Science from time to time holding, occupying, or performing the duties of, the specified offices or positions that are listed in the columns of the Matrix.
- 3. Authorise, pursuant to the legislation specified below:
 - a. under those provisions specified in the column titled 'Source' of the Matrix;
 - b. the officials within the Department of Industry, Innovation and Science from time to time holding, occupying, or performing the duties of, the specified offices or positions that are listed in the columns of the Matrix;
 - c. to exercise the powers, functions and duties specified in the column titled 'Description of power or function that has been delegated or authorised' of the Matrix for and on my behalf.

I make the above delegations in accordance with:

- (i) Subsection 78(7) of the *Public Service Act 1999* (PS Act)
- (ii) Regulation 9.3(3) of the *Public Service Regulations 1999* (PS Regulations)
- (iii) Direction 52(1) of the Australian Public Service Commissioner's Directions 2016
- (iv) Rule 13(1) of the *Public Service Classification Rules 2000* s22(1)(a)(ii)

s22(1)(a)(ii)

Directions, instructions and limitations

The delegations and authorisations made by this instrument to officials of the Department of Industry, Innovation and Science are to be exercised in accordance with the directions, instructions and limitations referred to or incorporated by reference in the Matrix.

For the purposes of this instrument, an 'official' of the Department of Industry, Innovation and Science is an 'APS employee' within the meaning of the *Public Service Act 1999*.

s22(1)(a)(ii)

Commencement

This instrument takes effect on the date it is signed by me.

s22(1)(a)(ii)

Heather Smith Secretary Department of Industry, Innovation and Science

Dated the 26 day of April 2018.



Human Resources Delegations and Authorisations

s22(1)(a)(ii)

		Head of Division*	
SES2	s22(1)(a)(ii)		
s22(1)(a)(ii)	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		
22(1)(a)(ii)			

*A delegate exercising powers or functions under the delegation can only exercise those powers for employees up to and including the classification one level below the delegate's classification.

Source Definitions:

PSA — Public Service Act 1999 s22(1)(a)(ii)

PS Regs — Public Service Regulations 1999
PS Class Rules — Public Service Classification Rules 2000
CD —Australian Public Service Commissioner's Directions 2016
s22(1)(a)(ii)

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Description of power or function that has been delegated or authorised

s22(1)(a)(ii)

s

Source

s22(1)(a)(ii)

Assign	ment of Duties
etermine the duties of an employee in the department and the place, or places, at which the duties are to be performed.	PSA s.25
emporarily assign duties to an employee (at level or at a higher classification).	PSA s.25
emporarily assign duties to an employee (at level of at a nigher classification). 22(1)(a)(ii)	EA B40
	cation Structure
llocate, in writing, the creation or re-classification of a Executive Level or APS Level position based on the work value of the	PSA s.77
uties.	PS Class Rules r.9
ion-SES Work Level Standards - Issue, in writing, work level standards at the Executive Level or APS Level, describing the work equirements for each classification applying to a group of duties to be performed in the department.	PS Class Rules r.10
Illocate more than one classification to a group of duties, at the Executive Level or APS Level. $2(1)(a)(ii)$	PS Class Rules r.9(4
educe the classification of an Executive Level or APS Level employee with or without the employee's consent.	PSA s.23(4) EA F36
betermine roles to be incorporated into the <u>Science and Technical Stream</u> . $22(1)(a)(ii)$	EA S1.5.2
	e of conduct
22(1)(a)(ii)	
mpose specified sanctions on an employee who is found to have breached the APS Code of Conduct. 2(1)(a)(ii)	PSA s.15(1)
uspend an employee from duties with or without remuneration, review the decision to suspend, end the suspension, and/or lecide whether there reasonable grounds for it not to be appropriate to have due regard to procedural fairness.	PS Regs 3.10(1-7)

Y Y Y

Description of power or function that has been delegated or authorised S22(1)(a)(ii)

Source

s22(1)(a)(ii)

Disclosure of information

PS Regs 2.1(5)(b) and 2.1(6)

s22(1)(a)(ii)

Authorise disclosure of official information.

Reduce an excess employee's classification if a suitable vacancy does not exist at the same level within the Department on the ground that the employee is excess to the requirements of the agency at the higher classification.

PSA s.23(4)(c) & EA F24(d)

s22(1)(a)(ii)

<u>Terminate the employment of an excess employee</u> by notice in writing, where they are unsuccessful in obtaining permanent reassignment at the end of their redeployment period, taking into account any re-assignment process that may be in progress.

EA F29-F32 PSA s.29

s22(1)(a)(ii)

Agree to <u>issue a 'notice of termination'</u> under s.29 of the PS Act where an employee accepts an offer of voluntary termination of employment.

EA F5 PSA s. 29

s22(1)(a)(ii)

s22(1)(a)(ii)

s22(1)(a)(ii)

s22(1)(a)(ii)

s22(1)(a)(ii)

s22(1)(a)(ii)

s22(1)(a)(II)







Description of power or function that has been delegated or authorised \$22(1)(a)(ii)

Source

s22(1)(a)(ii)

s22(1)(a)(ii)

s22(1)(a)(ii)

Re	s22(1)(a)(ii)
	Class Rules r.6(1)
Approve the movement of an ongoing employee to the next highest classification level within a Broadband (not affecting ASL).	
	EA B2 &

Approve a job to be filled through an open merit process involving external advertising including approval of the recruitment

s22(1)(a)(ii)

selection report and use of subsequent merit lists/pool.

Decide because of considerations of costs or operational efficiency not to provide an opportunity to all eligible members of the	CD 20(3)
community to apply for the relative employment.	3.7
Access Merit List	s22(1)(a)(ii)
Approve inter-agency access to a merit pool candidates from a departmental recruitment process.	CD 9
Approve a job to be filled through a <u>recruitment process undertaken by another agency.</u>	CD 9
Engagement/Promotion/Transfer	s22(1)(a)(ii)
Allocate an approved classification to each APS employee in the department.	PS Class Rules r.6
Allocate an approved classification (or another approved classification in the same group) to an ongoing employee who moves	PS Class Rules r.7(3), and
to the department on a temporary basis.	PSA s.26(1)
Engage a person as an ongoing or non-ongoing APS employee, (If non-ongoing, for a specified term or the duration of a specified task; or for duties that are irregular or intermittent).	PSA s.22 (1&2)
Extend an non-ongoing engagement for a specified term, subject to any limitations prescribed by the PS Regs.	PSA s.22(5)
Agree on a date of effect for promotion or move between agencies.	CD 36 & 37

s22(1)(a)(ii)













CD 19

Human Resources Delegations Matrix

Description of power or function that has been delegated or authorised Agree to an ongoing or temporary transfer of an employee between agencies, including the date of effect (including variation of a temporary move period). Approve conditions on which a person can be engaged as an ongoing or non-ongoing APS employee (including but not limited to, probation, citizenship, formal qualifications, security and character clearances, health clearances). Determine whether it is appropriate to engage a person who is not an Australian citizen. \$22(1)(a)(ii)	Source CD 37 & 38 PSA s.26 PSA s.22(6) PSA s.22(8)	s22(1)(a)(ii)	s22(1)(a)(ii)
Approve the <u>engagement of a person for an entry-level training program.</u> S22(1)(a)(ii)	PSA 5.22		√
Review Request the Merit Protection Commissioner to establish an Independent Selection Advisory Committee (ISAC) subject to any fee the Merit Protection Commissioner may charge. Nominate a person as a member of an ISAC. Receive reports and recommendations from an ISAC. Nominate an employee as a member of a Promotion Review Committee. Give information or documents relevant to a review in the way, and at or within the time, stated in the written notice of the request by the Promotion Review Committee. Other \$22(1)(a)(ii) Extend or waive, in writing, the probation period of an employee. Direct an employee, as a condition of engagement, to undergo a medical examination by a nominated medical practitioner for an assessment of the employee's fitness for duty, and give the delegate a medical report of the examination. \$22(1)(a)(ii)	PS Regs 4.2 PS Regs 4.3(1)(b) PS Regs 4.7 PS Regs 5.11 PS Regs 5.17 PSA s.22 (6&7) PSA s.22(6) PS Regs 3.1		* * * * * * * * * * * * * * * * * * *
Nominate employee to occupy position created under PS Act 77(1). Request the Commissioner authorise the promotion of an ongoing employee, where the employee was formerly appointed to a statutory office. \$22(1)(a)(ii)	PSA s.77(2) CD 29		× ·
Enter into an agreement with a State or Territory, or an authority of a State or Territory, to engage a person as a non-ongoing APS employee for a specified term. $s22(1)(a)(ii)$	PS Regs 3.5(6)(a)(ii) CD 23(B)		~

Description of power or function that has been delegated or authorised S22(1)(a)(ii)

Source

Re	eview of Actions	No. 100
Primary review		
Refer review to Merit Protection Commissioner (MPC) after MPC agreement.	PS Reg 5.25(1)	s22(1)(a)(ii)
Determine internal review not appropriate including due to seriousness or sensitivity.	PS Reg 5.25(2)	
Advise employee of referral to MPC.	PS Reg 5.25(3)	
Advise employee certain things in relation to a notice that action is not reviewable.	PS Reg 5.26	
Conduct formal review in any manner considered to be fit and may confirm, vary or set aside and substitute a new action.	PS Reg 5.27(1), (2), (3)&(4)	
Advise employee of decision, reason, actions to be taken and right to review by MPC.	PS Reg 5.27(5)	İ
Secondary review		
Give the application and documents related to primary review to the MPC and provide employee with copy of documents sent to MPC.	PS Reg 5.30	
Consider and make decision about MPC recommendations.	PS Reg 5.32 (1)&(2)	
Advise employee and MPC of delegate's decision and the reasons for decision.	PS Reg 5.32(4)	
Provide information/documents as requested by investigating person/committee.	PS Reg 5.35(2)	
Provide the MPC stated information in relation to the conduct of a review by a former employee. \$22(1)(a)(ii)	PS Reg 7.2F	

Termina	tion of employment
Terminate the employment of an ongoing APS employee where the employee is excess to the requirements of the department.	PSA s. 29(1) and 29(3)(a)
Terminate the employment of an ongoing APS employee where the employee <u>lacks, or has lost, an essential qualification</u> for performing his or her duties.	PSA s.29(1) and 29 (3)(b)
Terminate the employment of an ongoing APS employee due to <u>non-performance, or unsatisfactory performance of duties</u> .	PSA s.29(1) and (3)C
Terminate the employment of an ongoing APS employee due to <u>inability to perform duties because of physical or mental</u> <u>incapacity</u> .	PSA s.29(1) and (3)(d)
Terminate the employment of an ongoing APS employee due to failure to satisfactorily complete an entry-level training course.	PSA s.29(1) and (3)(e)
Terminate the employment of an ongoing APS employee due to <u>failure to meet a conditions imposed under s.22(6) of the PS</u> Act.	PSA s.29(1) and (3)(f)

Human Resources Delegations Matrix

Description of power or function that has been delegated or authorised

Terminate the employment of an ongoing APS employee due to a breach of the Code of Conduct.

 $\label{eq:constraints} \mbox{Terminate the employment of an ongoing APS employee} \ \underline{\mbox{on a ground prescribed by the Regulations}}.$

Terminate the employment of a non-ongoing APS employee, other than an SES employee.

s22(1)(a)(ii)

s22(1)(a)(ii)

s22(1)(a)(ii)

Source

PSA s.29(1), 29(3)(g), PSA s.15(1) and CD 34 PSA s.29(1), 29(3)(h) PSA s.29 \$22(1)(a)(ii) \$22(1)(a)(ii) \$22(1)(a)(ii) \$22(1)(a)(ii)

s22(1)(a)(ii)

Give notice to employee regarding additional non-Commonwealth remuneration.

<u>State of Service Report</u> - Provide APS Commissioner with required information.

<u>Annual Report</u> - Provide Minister with report for presentation.

s22(1)(a)(ii) s22(1)(a)(ii)

Approve participation by an employee in employment or other remunerative work outside of their official duties.

PSA s.13

PSA s.31(1)

PSA s.44(3)

PSA 63(1)

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1



Instrument of Delegation and Authorisation for Human Resource Matters

February 2020

- I, Mr David Fredericks, Secretary of the Department of Industry, Science, Energy and Resources (the department), make this instrument of delegation and authorisation under:
- a) subsection 78(7) of the Public Service Act 1999;
- b) sub-regulation 9.3(3) of the Public Service Regulations 1999;
- c) subclause 52(1) of the Australian Public Service Commissioner's Directions 2016;
- d) sub-rule 13(1) of the Public Service Classification Rules 2000;

s22(1)(a)(ii)

Subject to this instrument, I:

- a) delegate to any person from time to time holding the classification or performing the duties of a position identified in the Human Resources Delegation Matrix (the Matrix), those powers and functions assigned in the Matrix to a particular classification or position; and
- b) revoke all previous human resource delegations.

The exercise of delegations described is subject to any directions or guidelines issued by me by instrument in writing.

s22(1)(a)(ii)

Commencement

Inis instrument takes effect on the date it is signed by me. s22(1)(a)(ii)

David Fredericks

Secretary

Department of Industry, Science, Energy and Resources

Date: 15+ Feb 2020

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Annexure 1—Delegation protocols

Delegations are the means by which decision makers can exercise the power to undertake certain statutory functions.

A delegation is the conferral of the ability to exercise a power or duty to a person or body from a person or body that is vested with the responsibility to exercise that power or duty.

The department's employees are employed under the *Public Service Act 1999* (Cth) (PS Act) as employees of the Australian Public Service (APS)

As an Agency Head under section 20 of the PS Act, the Secretary has all the rights, duties and powers of an employer in respect of APS employees in the department. Section 78 of the PS Act enables the Agency Head to delegate those powers.

The attached delegations are given on the understanding that:

- The exercise of these delegations will at all times be in accordance with approved policies, practices and procedures of the department and/or as required in accordance with legislation, regulations and/or instructions from central agencies with appropriate authority to make those instructions; and
- Any requirements and/or limits specified in the delegations schedule are met/observed.

Delegates are accountable for the delegations that they exercise but should impress upon recommending employees that those employees are personally accountable for ensuring the quality and appropriateness of the recommendations and for ensuring that all relevant facts and other relevant considerations have been set out for the delegate so that the decision taken is fully informed and appropriate.

Human Resources Delegations Legend

Delegation and Authorisation

Subject to this instrument, each employee who occupies or performs the duties of the category shown as "Delegate" is authorised to exercise or perform the corresponding powers and functions.

Legend	Delegate	
s22(1)(a)(ii)		

HOD

An employee¹ who occupies or performs duties of one of the following positions:

Head of Division (SES Band 2);

s22(1)(a)(ii)

s22(1)(a)(ii)

Source of Power

Legend	Main Source
APSCD s22(1)(a)(ii)	Australian Public Service Commissioner's Directions 2016
PS Act	Public Service Act 1999
PSCR	Public Service Classification Rules 2000
PS Regs s22(1)(a)(ii)	Public Service Regulations 1999

 $^{^{1}}$ Can only exercise those powers for employees up to and including the classification one level below the delegate's classification.

Human Resources Delegation Matrix s22(1)(a)(ii)

						- s22(1)(a)(ii)	s22(1)(a)(ii)
Item		Description	Conditions/Limitations	Main Source	Section		QQ
s22(1)(a	a)(ii)						
1.13	Reduction in classification	Reduce the classification of an employee, without the employee's consent	Section 23(4) of the PS Act prescribes the circumstances where this delegation can be exercised	PS Act	s23(4)		☑
s22(1)(a)(ii)		exercised				

s22(1)(a)(II)

ct Description Conditions/Limitations Main Source Section	Conditions/Limitations Section	Description	tem Su	on
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НОВ

s22(1)(a)(ii)

s22(1)(a)(ii)

	2.18	Unpaid other leave	Grant leave without pay to an ongoing APS employee who applies for the leave to undertake or continue employment:(a) for the purposes of section 13 of the Governor-General Act 1974; or(b) for the purposes of section 13 or 20 of the Members of Parliament (Staff) Act 1984	Subclause 49(1) of the APSCD provides that an application for leave in this circumstance must be approved	APSCD	d49(1)	
	2.19	Unpaid other leave	Arrange for the APS employee to return to the department as soon as practicable; and arrange for the APS employee to undertake duties at the employee's classification immediately before the employee was granted leave without pay or, if the classification no longer exists, at an equivalent classification	If an APS employee who has been granted leave without pay to undertake or continue employment for the purposes mentioned in paragraph (1)(a) or (b) of the APSCD notifies the department, in writing, that he or she wishes to return to the department to undertake duties	APSCD	d49(2)	
	3. Pe	erformance and Co	de of Conduct				
s2	3.1 22(1)(a)	Breaches of the Code of Conduct (ii)	Impose sanctions for breaches of the Code of Conduct		PS Act	s15(1)	

			s22(1)(a)(ii)	s22(1)(a)(ii)			
Item	Subject	Description	Conditions/Limitations	Main Source	Section		НОВ

\$2∠(1)(a)(II)

s22(1)(a)(ii)

4.5

Classification of duties

3.8	Suspension from duties	Suspend an employee from duty, with or without remuneration, review the suspension, end the suspension and have due regard to procedural fairness		PS Regs	r3.10
4. Re	ecruitment				
4.1	Assignment of duties	Determine the duties of an employee and the place or places at which the duties are to be performed	For movements at level and higher duty arrangements	PS Act	s25
4.2	Transfer at Level into the Department	Agree in writing to the (ongoing or temporary) movement of an APS employee into the department	Subject to HoD approval to fill the vacant position	PS Act	s26(1)
22(1)(a)	(ii)				
s22(1)(a))(ii)				

Allocate a classification to each group of duties and ensure the duties include a

requirement to undergo training, if a training classification is allocated

PSCR

cr9(1) & 9(3)

s22(1)(a)(ii) s22(1)(a)(ii)

Item	Subject	Description	Conditions/Limitations	Main Source	Section
4.6	Classification of duties in a broadband	Allocate more than one classification to the group of duties (a broadband), if the group of duties involves value requirements applying to more than one classification		PSCR	cr9(4)
4.7	Classifications of employees	Allocate an approved classification to each employee	Subject to HoD approval to fill the vacant position or to undertake a recruitment process	PSCR	cr6(1)
4.8	Classifications of employees on movement	Allocate to an employee, who moves under s26 of the PS Act, the classification allocated to the employee immediately before moving, or another classification in the same group	Subject to HoD approval to fill the vacant position or to undertake a recruitment process	PSCR	cr7(3)
4.9	Constitution of an Independent Selection Advisory Committee (ISAC)	Nominate a person to participate as a member of an ISAC		PS Regs	r4.3(1)

	17 11 1

4.11	Date of effect of movement	Agree to a date of effect for a voluntary movement between agencies	Subject to HoD approval to fill the vacant position or to undertake a recruitment process	APSCD	d37(2)
4.12	Date of effect of movement	Agree to the movement of an employee taking effect prior to a suspected breach of the Code of Conduct matter being resolved	Subject to HoD approval to fill the vacant position or to undertake a recruitment process	APSCD	d37(4) & (5)
4.13	Date of effect of promotion	Agree to the promotion of an employee taking effect prior to a suspected breach of the Code of Conduct matter being resolved	Subject to HoD approval to fill the vacant position or to undertake a recruitment process	APSCD	d38(1)
4.14	Engagement of a parliamentary service employee	Engage an ongoing parliamentary service employee as an ongoing employee (if the person is to be employed at a comparable classification or lower)	Subject to HoD approval to fill the vacant position or to undertake a recruitment process	APSCD	d31
4.15	Affirmative measure— Indigenous employment	As an affirmative measure, identify a vacancy as open only to Aboriginal and/or Torres Strait Islander persons		APSCD	d26(1)

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Subject	Description					
	Description	Conditions/Limitations	Main Source	Section		Д
Affirmative measure — Disability	As an affirmative measure, identify a vacancy as only open to persons who have a disability or a particular type of disability		APSCD	d27(1)		I
Engagement of employees	Engage a person as either an ongoing or non-ongoing employee	Subject to the person being an Australian Citizen, and HoD approval to fill the vacant position or to undertake a recruitment process	PS Act	s22(1) & (2)		<u> </u>
D	isability ngagement of	ffirmative measure— isability vacancy as only open to persons who have a disability or a particular type of disability ngagement of Engage a person as either an ongoing or	ffirmative measure— isability vacancy as only open to persons who have a disability or a particular type of disability Subject to the person being an Australian Citizen, and HoD approval to fill the vacant position or to undertake a	ffirmative measure— isability vacancy as only open to persons who have a disability or a particular type of disability Subject to the person being an Australian Citizen, and HoD approval to fill the vacant position or to undertake a	ffirmative measure— vacancy as only open to persons who have a disability or a particular type of disability Subject to the person being an Australian Citizen, and HoD approval to fill the vacant position or to undertake a APSCD d27(1) Subject to the person being an Australian Citizen, and HoD approval to fill the vacant position or to undertake a	ffirmative measure— vacancy as only open to persons who have a disability or a particular type of disability Subject to the person being an Australian Citizen, and HoD approval to fill the vacant position or to undertake a APSCD d27(1) Subject to the person being an Australian Citizen, and HoD approval to fill the vacant position or to undertake a

4.21	Extension of non- ongoing employment	Extend the specified period of non- ongoing employment	Subject to HoD approval to fill the vacant position or to	PS Regs	r3.5(4) & (5)

		undertake a recruitment process	
s22(1)(a)(ii)		

4.25	Movement from a training classification	Allocate to an employee a classification mentioned in column 3 of schedule 2 of the Classification Rules that relates to the employee's training classification, upon satisfactory completion of the training requirements		PSCR	cr11(1)
4.26	Non-ongoing employment	Engage a person for a specified term, or for the duration of a specified task in accordance with s22(2)(b) of the PS Act	Subject to HoD approval to fill the vacant position or to undertake a recruitment process	PS Regs	r3.5(2), (3) & (6)

Item	Subject	Description	Conditions/Limitations	Main Source	Section
4.27	Non-ongoing employment for training purposes	Approve a scheme for employees engaged for a specified term, or for the duration of a specified task, to gain skills and experience for the purpose of assisting them to participate in the workforce	Subject to HoD approval to fill the vacant position or to undertake a recruitment process	PS Regs	r3.3(1)
4.28	Overseas engagement	Engage persons overseas to perform duties overseas as employees		PS Act	s74(1)
4.29	Position creation	Create positions and nominate employees to occupy these positions		PS Act	s77(1) & (2)

s22(1)(a)(ii)

4.32	Redundancy benefit recipients	Engage a redundancy benefit recipient as an ongoing employee	Subject to HoD approval to fill the vacant position or to undertake a recruitment process	APSCD	d48(1)
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						s22(1)(a)(ii)	s22(1)(a)(ii)
Item	Subject	Description	Conditions/Limitations	Main Source	Section	, , , , ,	ОН
s22(1)(a)	o(ii)						
4.41	Limit Vacancies to APS employees only	Decide that because of reasons of cost or operational efficiency, a non-SES vacancy should be filled by a person who is already an APS employee with the vacancy notified in the Public Service Gazette (APSJobs) as open only		APSCD	d20(3)		Ø

s22(1)(a)(ii)

to current APS employees

						s22(1)(a)(ii)	s22(1)(a)(ii)
Item	Subject	Description	Conditions/Limitations	Main Source	Section		НОВ
s22(1)	a)(ii)					_	
5.6	Issue notice of	Proceed to give notice of termination of employment on the grounds set out in	All delegates must consult with and have endorsement by	PS Act	29(1)		✓

People Branch before exercising

this delegation

termination

s22(1)(a)(ii)

s29(3)(a) of the PS Act

						s22(1)(a)(ii)		s22(1)(a)(ii)
Item	Subject	Description	Conditions/Limitations	Main Source	Section		НОР	

7.1	Review of actions	Review the action, attempt to resolve the employee's concerns and confirm, vary or set aside the action or substitute	PS Regs	r5.27
2(1)(a))(ii)	a new action		
. , , ,				
	Provision of a review	Give the application and documents relating to a primary review of action to		
7.3	of actions application	the MPC and copy the affected	PS Regs	r5.30
		employee		
	Provision of	Provide, to the MPC, information and/or		
7.4	information for a review of actions	documents relevant to a review	PS Regs	r5.35
, .4		Consider and make decisions about		
, . .	Recommendations	Consider and make decisions about	20.0	r5.32
7.5	Recommendations from a review of	recommendations received from the	PS Regs	13.32

Item	Subject	Description	Conditions/Limitations	Main Source	Section
8.2	Termination of employment	By notice in writing, terminate the employment of an APS employee in the department	*Subject to limitations set out in 29(3) of the PS Act for ongoing employees. *All delegates must consult with and have endorsement by People Branch before exercising this delegation.	PS Act	s29(1)
8.3	Voluntary move (at level) to another agency	Agree in writing to the (ongoing or temporary) movement of an employee to another agency		PS Act	s26(1)
9. Of	ther				

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9.5	Disclosure of information	Authorise an employee to disclose information about which the employee has official knowledge ²		PS Regs	r2.1(5)(b)
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² Official Information – Information obtained or generated in connection with APS employment that if disclosed could be prejudicial to the effective working of government, including the formulation and implementation of policies and program.



Instrument of Delegation and Authorisation for Human Resource Matters

August 2021

- I, Mr David Fredericks, Secretary of the Department of Industry, Science, Energy and Resources (the department), make this instrument of delegation and authorisation under:
- a) subsection 78(7) of the Public Service Act 1999;
- b) sub-regulation 9.3(3) of the Public Service Regulations 1999;
- c) subclause 52(1) of the Australian Public Service Commissioner's Directions 2016;
- d) sub-rule 13(1) of the Public Service Classification Rules 2000;

s22(1)(a)(ii)

Subject to this instrument, I:

- a) delegate to any person from time to time holding the classification or performing the duties of a position identified in the Human Resources Delegation Matrix (the Matrix), those powers and functions assigned in the Matrix to a particular classification or position; and
- b) revoke all previous human resource delegations.

The exercise of delegations described is subject to any directions or guidelines issued by me by instrument in writing.

s22(1)(a)(ii)

Commencement

This/instrument/tales effect on the date it is signed by me. s22(1)(a)(ii)

David Fredericks

Secretary

Department of Industry, Science, Energy and Resources

Date:

Instrument of Delegation and Authorisation for Human Resource Matters

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Annexure 1—Delegation protocols

Delegations are the means by which decision makers can exercise the power to undertake certain statutory functions.

A delegation is the conferral of the ability to exercise a power or duty to a person or body from a person or body that is vested with the responsibility to exercise that power or duty.

The department's employees are employed under the *Public Service Act 1999* (Cth) (PS Act) as employees of the Australian Public Service (APS)

As an Agency Head under section 20 of the PS Act, the Secretary has all the rights, duties and powers of an employer in respect of APS employees in the department. Section 78 of the PS Act enables the Agency Head to delegate those powers.

The attached delegations are given on the understanding that:

- The exercise of these delegations will at all times be in accordance with approved policies, practices and procedures of the department and/or as required in accordance with legislation, regulations and/or instructions from central agencies with appropriate authority to make those instructions; and
- Any requirements and/or limits specified in the delegations schedule are met/observed.

Delegates are accountable for the delegations that they exercise but should impress upon recommending employees that those employees are personally accountable for ensuring the quality and appropriateness of the recommendations and for ensuring that all relevant facts and other relevant considerations have been set out for the delegate so that the decision taken is fully informed and appropriate.

Human Resources Delegations Legend

Delegation and Authorisation

Subject to this instrument, each employee who occupies or performs the duties of the category shown as "Delegate" is authorised to exercise or perform the corresponding powers and functions.

Legend	Delegate
s22(1)(a)(ii)	
HOD	An employee ¹ who occupies or performs duties of one of the following positions:
	 Head of Division (SES Band 2);
	s22(1)(a)(ii)
s22(1)(a)(ii)	

Source of Power

Legend	Main Source
APSCD	Australian Public Service Commissioner's Directions 2016
s22(1)(a)(ii)	

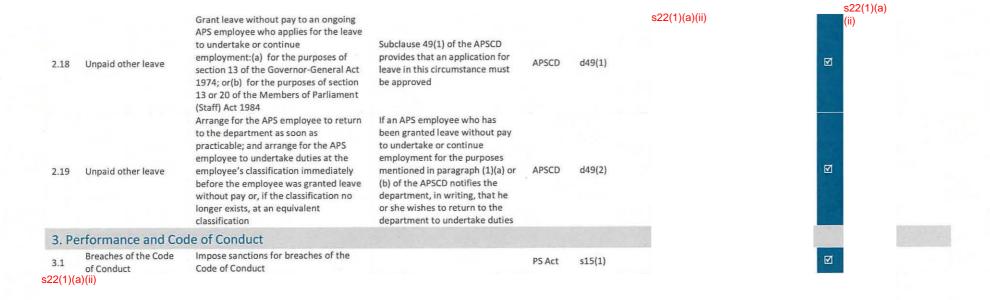
PS Act	Public Service Act 1999
PSCR	Public Service Classification Rules 2000
PS Regs	Public Service Regulations 1999
s22(1)(a)(ii)	

Instrument of Delegation and Authorisation for Human Resource Matters

 $^{^{1}}$ Can only exercise those powers for employees up to and including the classification one level below the delegate's classification.

Human Resources Delegation Matrix s22(1)(a)(ii)

Item Subject Description Conditions/Limitations Main Source Section Source





							22	2(1)(a)(ii)
3.8	Suspension from duties	Suspend an employee from duty, with or without remuneration, review the suspension, end the suspension and have due regard to procedural fairness		PS Regs	r3.10		Ø	
4. F	Recruitment							
4.1	Assignment of duties	Determine the duties of an employee and the place or places at which the duties are to be performed	For movements at level and higher duty arrangements	PS Act	s25	s22(1)(a)(ii)	卤	
4.2	Transfer at Level into the Department	Agree in writing to the (ongoing or temporary) movement of an APS employee into the department	Subject to HoD approval to fill the vacant position	PS Act	s26(1)		区	
s22(1)(a)(ii)							

s22((1)	ı(a))(ii
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s22(1)((a)	(ii)
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Item	Subject	Description	Conditions/Limitations	Main Source	Section	
22(1)(a	a)(ii)			Source		
4.5	Classification of duties	Allocate a classification to each group of duties and ensure the duties include a requirement to undergo training, if a		PSCR	cr9(1) & 9(3)	
4.6	Classification of duties in a broadband	training classification is allocated Allocate more than one classification to the group of duties (a broadband), if the group of duties involves value requirements applying to more than one classification		PSCR	cr9(4)	
4.7	Classifications of employees	Allocate an approved classification to each employee	Subject to HoD approval to fill the vacant position or to undertake a recruitment process	PSCR	cr6(1)	
4.8	Classifications of employees on movement	Allocate to an employee, who moves under s26 of the PS Act, the classification allocated to the employee immediately before moving, or another classification in the same group	Subject to HoD approval to fill the vacant position or to undertake a recruitment process	PSCR	cr7(3)	
4.9)(a)(ii)	Constitution of an Independent Selection Advisory Committee (ISAC)	Nominate a person to participate as a member of an ISAC		PS Regs	r4.3(1)	
/(u /(ii/						
4.11	Date of effect of movement	Agree to a date of effect for a voluntary movement between agencies	Subject to HoD approval to fill the vacant position or to undertake a recruitment process	APSCD	d37(2)	
4.12	Date of effect of movement	Agree to the movement of an employee taking effect prior to a suspected breach of the Code of Conduct matter being resolved	Subject to HoD approval to fill the vacant position or to undertake a recruitment process	APSCD	d37(4) & (5)	
4.13	Date of effect of promotion	Agree to the promotion of an employee taking effect prior to a suspected breach of the Code of Conduct matter being resolved	Subject to HoD approval to fill the vacant position or to undertake a recruitment process	APSCD	d38(1)	
4.14	Engagement of a parliamentary service employee	Engage an ongoing parliamentary service employee as an ongoing employee (if the person is to be	Subject to HoD approval to fill the vacant position or to undertake a recruitment process	APSCD	d31	

						s22(1)(a)(ii)	s22(1)(a)(i
Item	Subject	Description	Conditions/Limitations	Main Source	Section		HOD .
		employed at a comparable classification or lower)					
4.15	Affirmative measure— Indigenous employment	As an affirmative measure, identify a vacancy as open only to Aboriginal and/or Torres Strait Islander persons		APSCD	d26(1)		\square
4.16	Affirmative measure— Disability	As an affirmative measure, identify a vacancy as only open to persons who have a disability or a particular type of disability		APSCD	d27(1)		☑
4.17	Engagement of employees	Engage a person as either an ongoing or non-ongoing employee	Subject to the person being an Australian Citizen, and HoD approval to fill the vacant position or to undertake a recruitment process	PS Act	s22(1) & (2)		Ø
s22(1)(a)(ii)							
4.21	Extension of non- ongoing employment	Extend the specified period of non- ongoing employment	Subject to HoD approval to fill the vacant position or to undertake a recruitment process	PS Regs	r3.5(4) & (5)		Ø

				s22	(1)(a)(ii)	s22(1)(a)(ii)
Item Subject s22(1)(a)(ii)	Description	Conditions/Limitations	Main Source	Section		НОВ
322(1)(a)(ii)						Ø
						<u> </u>
						<u> </u>
						Z
4.29 Position creation s22(1)(a)(ii)	Create positions and nominate employees to occupy these positions		PS Act	s77(1) & (2)		<u> </u>
4.32 Redundancy benefit recipients	Engage a redundancy benefit recipient as an ongoing employee	Subject to HoD approval to fill the vacant position or to undertake a recruitment process	APSCD	d48(1)		
s22(1)(a)(ii)		undertake a recruitment process				

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s22(1)(a)(ii) s22(1)(a)(ii)

НОВ

Item Subject Description Conditions/Limitations

5/Limitations Source Section

s22(1)(a)(ii)

s22(1)(a)(ii)

5.6 Issue notice of termination

Proceed to give notice of termination of employment on the grounds set out in s29(3)(a) of the PS Act All delegates must consult with and have endorsement by People Branch before exercising this delegation

PS Act 29(1)

НОР

s22(1)(a)(ii)

Item Subject

Description

Conditions/Limitations

Main Source

.

Section

s22(1)(a)(ii)

		Review the action, attempt to resolve		
7.1	Review of actions	the employee's concerns and confirm, vary or set aside the action or substitute a new action	PS Regs	r5.27
7.2	Referral of a review of actions	Refer an application for review, of a reviewable action to the Merit Protection Commissioner and advise the employee in writing	PS Regs	r5.25(1) & (3)
7.3	Provision of a review of actions application	Give the application and documents relating to a primary review of action to the MPC and copy the affected employee	PS Regs	r5.30
7.4	Provision of information for a review of actions	Provide, to the MPC, information and/or documents relevant to a review	PS Regs	r5.35
7.5	Recommendations from a review of actions	Consider and make decisions about recommendations received from the MPC regarding a review of actions	PS Regs	r5.32

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s22(1)(a)(ii)

s22(1)(a)(iii)

8.2	Termination of employment	By notice in writing, terminate the employment of an APS employee in the department	*Subject to limitations set out in 29(3) of the PS Act for ongoing employees. *All delegates must consult with and have endorsement by People Branch before exercising this delegation.	PS Act	s29(1)	s22(1)(a)(ii)	Ø
8.3	Voluntary move (at level) to another agency	Agree in writing to the (ongoing or temporary) movement of an employee to another agency		PS Act	s26(1)		

						s22(1)(a)(ii)	s22(1)(a)(ii)
	Item	Subject	Description	Conditions/Limitations	Main Source	Section	HOD
s22(1)(a)(ii)			s22(1)(a)(ii)				
	9.5	Disclosure of information	Authorise an employee to disclose information about which the employee has official knowledge ²		PS Regs	r2.1(5)(b)	図
s22(1)(a)(i	i)						

² Official Information – Information obtained or generated in connection with APS employment that if disclosed could be prejudicial to the effective working of government, including the formulation and implementation of policies and program.



Instrument of Delegation and Authorisation for Human Resource Matters

August 2022

- I, Ms Meghan Quinn, Secretary of the Department of Industry, Science and Resources (the department), make this instrument of delegation and authorisation under:
- a) subsection 78(7) of the Public Service Act 1999;
- b) sub-regulation 9.3(3) of the Public Service Regulations 1999;
- c) subclause 69(1) of the Australian Public Service Commissioner's Directions 2022;
- d) sub-rule 13(1) of the *Public Service Classification Rules 2000*; s22(1)(a)(ii)

Subject to this instrument, I:

- a) delegate to any person from time to time holding the classification or performing the duties of a position identified in the Human Resources Delegation Matrix (the Matrix), those powers and functions assigned in the Matrix to a particular classification or position; and
- b) revoke all previous human resource delegations.

The exercise of delegations described is subject to any directions or guidelines issued by me by instrument in writing, and the protocols outlined in Annexure 1.

The delegations and authorisation made by this instrument do not revoke current delegations and authorisations to the Director General, IP Australia; the Chief Executive Officer, Geoscience Australia and other employees of IP Australia and Geoscience Australia.

Commencement

This instrument takes effect on the date it is signed by me. s22(1)(a)(ii)

Meghan Quinn Secretary Department of Industry, Science and Resources

Date: 26 August 2022

Instrument of Delegation and Authorisation for Human Resource Matters

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Annexure 1—Delegation protocols

Delegations are the means by which decision makers can exercise the power to undertake certain statutory functions.

A delegation is the conferral of the ability to exercise a power or duty to a person or body from a person or body that is vested with the responsibility to exercise that power or duty.

The department's employees are employed under the *Public Service Act 1999* (Cth) (PS Act) as employees of the Australian Public Service (APS)

As an Agency Head under section 20 of the PS Act, the Secretary has all the rights, duties and powers of an employer in respect of APS employees in the department. Section 78 of the PS Act enables the Agency Head to delegate those powers.

The attached delegations are given on the understanding that:

- The exercise of these delegations will at all times be in accordance with approved policies, practices and procedures of the department and/or as required in accordance with legislation, regulations and/or instructions from central agencies with appropriate authority to make those instructions; and
- Any requirements and/or limits specified in the delegations schedule are met/observed.

Delegates are accountable for the delegations that they exercise but should impress upon recommending employees that those employees are personally accountable for ensuring the quality and appropriateness of the recommendations and for ensuring that all relevant facts and other relevant considerations have been set out for the delegate so that the decision taken is fully informed and appropriate.

Human Resources Delegations Legend

Delegation and Authorisation

Subject to this instrument, each officer who occupies or performs the duties of the category shown as "Delegate" is authorised to exercise or perform the corresponding powers and functions.

Delegate
i)

HOD

An officer who occupies or performs duties of one of the following positions¹:

• Head of Division (SES Band 2 employee);

s22(1)(a)(ii)

s22(1)(a)(ii)

Source of Power

Legend	Main Source			
APSCD Australian Public Service Commissioner's Directions 2022 s22(1)(a)(ii)				
s22(1)(a)(ii)				
PS Act	Public Service Act 1999			
PSCR	Public Service Classification Rules 2000			
PS Regs s22(1)(a)(ii)	Public Service Regulations 1999			

¹ Can only exercise those powers for employees up to and including the classification one level below the delegate's equivalent classification. s22(1)(a)(ii)



Human Resources Delegation Matrix

Movement from a training

classification

Reduction in classification

1. Classifications	Subject	Description	Conditions/Limitations Ma Sour	Section	ОН
22(1)(a)(ii)					
1.2 Classification st S22(1)(a)(ii)	ructure Classification of position	Allocate, in writing, the creation or re-classification of an APS or Executive Level position based on the work value of the duties.	PSC	CR cr9	Ö
					_
1.4 Classification st	ructure Classification of duties	Allocate a classification to each group of duties and ensure the duties include a requirement to undergo training, if a training classification is allocated	PSC	CR cr9(1) & 9(3)	•
1.5 Classification st	ructure Classification of duties in a broadband	Allocate more than one classification to the group of duties (a broadband), if the group of duties involves value requirements applying to more than one classification	PSC	CR cr9(4)	٥
2(1)(a)(ii)		1175			

Classification Rules that relates to the employee's training classification, upon satisfactory

Reduce the classification of an employee, without the employee's consent

completion of the training requirements

s22(1)(a)(ii)

1.11

1.10

Classification of employees

Classification of employees

PSCR

PS Act

Section 23(4) of the PS Act prescribes

the circumstances where this delegation can be exercised

cr11(1)

s23(4)

s22(1)(a)(ii)

9

Item	Section	Subject	Description	Conditions/Limitations	Main Source	Section
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s22(1)(a)(ii)

	8.18	Unpaid other leave	Grant leave	Grant leave without pay to an ongoing APS employee who applies for the leave to undertake or continue employment: (a) for the purposes of section 13 of the Governor-General Act 1974; or (b) for the purposes of section 13 or 20 of the Members of Parliament (Staff) Act 1984.	Subclause 49(1) of the APSCD provides that an application for leave in this circumstance must be approved	APSCD	d67(1)
	8.19	Unpaid other leave	Return to work	Arrange for the APS employee to return to the department as soon as practicable; and arrange for the APS employee to undertake duties at the employee's classification immediately before the employee was granted leave without pay or, if the classification no longer exists, at an equivalent classification	If an APS employee who has been granted leave without pay to undertake or continue employment for the purposes mentioned in paragraph (1)(a) or (b) of the APSCD notifies the department, in writing, that he or she wishes to return to the department to undertake duties	APSCD	d67(2)
	9. Perf	formance and Code of Co	onduct				
s22(1)(9.1 a)(ii)	Code of Conduct	Breaches of the Code of Conduct	Impose sanctions for breaches of the Code of Conduct		PS Act	s15(1)

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9.6	Code of Conduct	Suspension from duties	Suspend an employee from duty, with or without remuneration, review the suspension, end the suspension and have due regard to procedural fairness
s22(1)(a)(i	i)		,

PS legs r3.10 s22(1)(a)(ii)

\$

10. Re	cruitment and Engagem	ent				
10.1	Assignment of duties	Assignment of duties	Determine the duties of an employee and the place or places at which the duties are to be performed	An employee cannot be assigned duties at a lower classification without their consent, other than in exceptional circumstances. These circumstances are described in subsection 23(4) of the Public Service Act 1999 (PS Act).	PS Act	s25
10.2	Advertising	Limit Vacancies to APS employees only	Decide that because of reasons of cost or operational efficiency, a non-SES vacancy should be filled by a person who is already an APS employee with the vacancy notified in the Public Service Gazette (APSJobs) as open only to current APS employees		APSCD	d25(4)

s22(1)(a)(ii) s22(1)(a)(ii)

Item	Section	Subject	Description	Conditions/Limitations	Main Source	Section
		Abordated and Touris City				
10.3	Affirmative measures	Aboriginal and Torres Strait Islander employment	As an affirmative measure, identify a vacancy as open only to Aboriginal and/or Torres Strait Islander persons		APSCD	d31(1)
10.4	Affirmative measures	Disability employment	As an affirmative measure, identify a vacancy as only open to persons who have a disability or a particular type of disability		APSCD	d33(1)
10.5	Engagement	Engagement of employees	Engage a person as either an ongoing or non-ongoing employee	Subject to the person being an Australian Citizen	PS Act	s22(1) & (2)
10.6	Engagement	Non-ongoing employment	Engage a person for a specified term, or for the duration of a specified task in accordance with s22(2)(b) of the PS Act		PS Regs	r3.5(2), (3) & (6)
10.7	Engagement	Extend non-ongoing employment past 18 months	Extend or further extend the engagement of a non-ongoing Employee if: (a) there is a continuing need for the duties to be performed; and (b) the person engaged is performing the duties satisfactorily or better; and (c) the delegate is satisfied that: (i) it is still appropriate for the duties to be performed on a non-ongoing basis; and (ii) the extension, or further extension, will contribute to efficient and effective organisational performance.	The total period of engagement (including any extension), must not exceed 3 years.	APSCD	d(27)(2)
10.8	Engagement	Ongoing to non-ongoing	Engage an ongoing APS employee as a non-ongoing in certain circumstances as described in direction 29 of the APSCD.		APSCD	d29
10.9	Engagement	Non-ongoing employment for training purposes	Approve a scheme for employees engaged for a specified term, or for the duration of a specified task, to gain skills and experience for the purpose of assisting them to participate in the workforce		PS Regs	r3.3(1)
10.10	Engagement	Redundancy benefit recipients	Engage a redundancy benefit recipient as an ongoing APS/SES employee or a non-ongoing SES employee.		APSCD	d66(1)
10.11	Engagement	Engagement of a parliamentary service employee	Engage an ongoing parliamentary service employee as an ongoing employee (if the person is to be employed at a comparable classification or lower)		APSCD	d36

10.16	Engagement	Extension of non-ongoing employment	Extend the specified period of non-ongoing employment	PS Regs	r3.5(4) & (5)
s22(1)(a)(ii)					
10.10	5		Engage persons overseas to perform duties overseas as employees (locally engaged	DC 4 -1	74/4)
10.18	Engagement	Overseas engagement	employees)	PS Act	s74(1)
10.19	Movement	Transfer at Level	Agree in writing to the (ongoing or temporary) movement of an APS employee	PS Act	s26(1)
10.20	Movement	Between agencies	Agree to a date of effect for a voluntary movement between agencies	APSCD	d46(2)
10.21	Movement	Code of Conduct	Agree to the movement of an employee taking effect prior to a suspected breach of the Code of Conduct matter being resolved	APSCD	d46(5) & (6)
10.22	Movement	Date of effect of promotion	Agree to the promotion of an employee taking effect prior to a suspected breach of the Code of Conduct matter being resolved	APSCD	d47(1)
)(a)(ii)					



ltem	Section	Subject	Description	Conditions/Limitations	Main Source	Section	s22(1)(a)(ii)
s22(1)(a)(i	i)						

	s22(1)(a)(ii)
НОБ	

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10.31	Independent Selection Advisory Committee (ISAC)	Constitution of an ISAC	Nominate a person to participate as a member of an ISAC	PS Regs	r4.3(1)
10.32	Organisational structure	Position creation and occupancy	Create positions and nominate employees to occupy these positions	PS Act	s77(1) & (2)

S∠∠(1)(a)(II)

	11.6	Excess Employee	Issue notice of termination	Proceed to give notice of termination of employment on the grounds set out in s29(3)(a) of the PS Act	All delegates must consult with and have endorsement by People Branch before exercising this delegation	PS Act	29(1)	
s22	2(1)(a)(ii)							

13. Review of actions s22(1)(a)(ii) s22(1)(a)(ii) PS Review the action, attempt to resolve the employee's concerns and confirm, vary or set aside 13.2 r5.27 Primary review Internal Review the action or substitute a new action Regs s22(1)(a)(ii) Give the application and documents relating to a primary review of action to the MPC and PS Provision of a review of actions 13.4 Primary review r5.30 application copy the affected employee Regs Provision of information for a PS 13.5 Review of actions Provide, to the MPC, information and/or documents relevant to a review r5.35 review of actions Regs Recommendations from a PS Consider and make decisions about recommendations received from the MPC regarding a 13.6 Review of actions r5.32 review of actions review of actions Regs

14. Separation of employment

s22(1)(a)(ii)

14.2	Termination of employment	Termination of employment	By notice in writing, terminate the employment of an APS employee in the department	- Subject to limitations set out in 29(3) of the PS Act for ongoing employees All delegates must consult with and have endorsement by People Branch before exercising this delegation.	PS Act	-s22(1)(a)(ii) s29(1)	s22(1)(a)(ii)
14.3	Termination of employment	Voluntary move (at level) to another agency	Agree in writing to the (ongoing or temporary) movement of an employee to another agency		PS Act	s26(1)	٥
15. Ot	her						

	Item	Section	Subject	Description	Conditions/Limitations	Main Source	Section
	15.5	Disclosure of information	Disclosure of information	Authorise an employee to disclose information about which the employee has official knowledge ³		PS Regs	r2.1(5)(b)
s22(1)(a)(ii)						

³ Official Information – Information obtained or generated in connection with APS employment that if disclosed could be prejudicial to the effective working of government, including the formulation and implementation of policies and program.

Instrument of Delegation and Authorisation for Human Resource Matters



Instrument of Delegation and Authorisation for Human Resource Matters

June 2023

- I, Ms Meghan Quinn, Secretary of the Department of Industry, Science and Resources (the department), make this instrument of delegation and authorisation under:
- a) subsection 78(7) of the Public Service Act 1999;
- b) subsection 105(3) of the Public Service Regulations 2023;
- c) subclause 69(1) of the Australian Public Service Commissioner's Directions 2022;
- d) sub-rule 13(1) of the *Public Service Classification Rules 2000*; s22(1)(a)(ii)

Subject to this instrument, I:

- a) delegate to any person from time to time holding the classification or performing the duties of a position identified in the Human Resources Delegation Matrix (the Matrix), those powers and functions assigned in the Matrix to a particular classification or position; and
- b) revoke all previous human resource delegations.

The exercise of delegations described is subject to any directions or guidelines issued by me by instrument in writing, and the protocols outlined in Annexure 1.

The delegations and authorisation made by this instrument do not revoke current delegations and authorisations to the Director General, IP Australia; the Chief Executive Officer, Geoscience Australia and other employees of IP Australia and Geoscience Australia.

Commencement

This instrument takes effect on the date it is signed by me. s22(1)(a)(ii)

Meghan Quinn

Secretary

Department of Industry, Science and Resources

Date: 4 July 2023

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Annexure 1—Delegation protocols

Delegations are the means by which decision makers can exercise the power to undertake certain statutory functions.

A delegation is the conferral of the ability to exercise a power or duty to a person or body from a person or body that is vested with the responsibility to exercise that power or duty.

The department's employees are employed under the *Public Service Act 1999* (Cth) (PS Act) as employees of the Australian Public Service (APS)

As an Agency Head under section 20 of the PS Act, the Secretary has all the rights, duties and powers of an employer in respect of APS employees in the department. Section 78 of the PS Act enables the Agency Head to delegate those powers.

The attached delegations are given on the understanding that:

- The exercise of these delegations will at all times be in accordance with approved policies, practices and procedures of the department and/or as required in accordance with legislation, regulations and/or instructions from central agencies with appropriate authority to make those instructions; and
- Any requirements and/or limits specified in the delegations schedule are met/observed.

Delegates are accountable for the delegations that they exercise but should impress upon recommending employees that those employees are personally accountable for ensuring the quality and appropriateness of the recommendations and for ensuring that all relevant facts and other relevant considerations have been set out for the delegate so that the decision taken is fully informed and appropriate.

Human Resources Delegations Legend

Delegation and Authorisation

Subject to this instrument, each officer who occupies or performs the duties of the category shown as "Delegate" is authorised to exercise or perform the corresponding powers and functions.

Legend	Delegate	
s22(1)(a)(ii)		

HOD

An officer who occupies or performs duties of one of the following positions¹:

Head of Division (SES Band 2);
 s22(1)(a)(ii)

s22(1)(a)(ii)

Source of Power

Legend	Main Source
APSCD s22(1)(a)(ii)	Australian Public Service Commissioner's Directions 2022
PS Act	Public Service Act 1999
PSCR	Public Service Classification Rules 2000
PS Regs	Public Service Regulations 2023

¹ Can only exercise those powers for employees up to and including the classification one level below the delegate's equivalent classification. s22(1)(a)(ii)



Human Resources Delegation Matrix

Hum	ian Resource	s Delegation N	/latrix				s22(1)(a)(ii)	s22(1)(a
ltem	Section	Subject	Description	Conditions/Limitations	Main Source	Section		НОБ
1. Class	sifications							
s22(1)(a)(ii)								
1.2 22(1)(a)(ii)	Classification structure	Classification of position	Allocate, in writing, the creation or re-classification of an APS or Executive Level position based on the work value of the duties.		PSCR	cr9		I
1.4	Classification structure	Classification of duties	Allocate a classification to each group of duties and ensure the duties include a requirement to undergo training, if a training classification is allocated		PSCR	cr9(1) & 9(3)		\square
1.5	Classification structure	Classification of duties in a broadband	Allocate more than one classification to the group of duties (a broadband), if the group of duties involves value requirements applying to more than one classification		PSCR	cr9(4)		Ø
)(a)(ii)								
10	Classification of amplement	Classifications of amplement	Allocate an approved classification to each ampleyee	ı	DCCD	cr6/1)		
1.8	Classification of employees	Classifications of employees	Allocate an approved classification to each employee		PSCR	cr6(1)		<u> </u>
1.9	Classification of employees	Classification of employees on movement	Allocate to an employee, who moves under s26 of the PS Act, the classification allocated to the employee immediately before moving, or another classification in the same group		PSCR	cr7(3)		团
1.10	Classification of employees	Movement from a training classification	Allocate to an employee a classification mentioned in column 3 of schedule 2 of the Classification Rules that relates to the employee's training classification, upon satisfactory completion of the training requirements		PSCR	cr11(1)		Ø

Section 23(4) of the PS Act prescribes

PS Act

s23(4)

the circumstances where this

delegation can be exercised

s22(1)(a)(ii)

1.11

Classification of employees

Reduction in classification

Reduce the classification of an employee, without the employee's consent

s22(1)(a)(ii)

Item Section Subject Description Conditions/Limitations Main Source Section

8.37	Other leave	Grant leave	Grant leave without pay to an ongoing APS employee who applies for the leave to undertake or continue employment: (a) for the purposes of section 13 of the Governor-General Act 1974; or (b) for the purposes of section 13 or 20 of the Members of Parliament (Staff) Act 1984.	Subclause 49(1) of the APSCD provides that an application for leave in this circumstance must be approved	APSCD	d67(1)
8.38	Other leave	Return to work	Arrange for the APS employee to return to the department as soon as practicable; and arrange for the APS employee to undertake duties at the employee's classification immediately before the employee was granted leave without pay or, if the classification no longer exists, at an equivalent classification	If an APS employee who has been granted leave without pay to undertake or continue employment for the purposes mentioned in paragraph (1)(a) or (b) of the APSCD notifies the department, in writing, that he or she wishes to return to the department to undertake duties	APSCD	d67(2)

			s22(1)(a)(ii)			s22(1)(a)(ii)	s22(1)(a)(ii)	
ltem	Section	Subject	Description	Conditions/Limitations	Main Source	Section		НОР
s22(1)(a)	ii)							s22(1)(a)(ii)

9. Perform	mance and Code of Co	onduct		
9.1 s22(1)(a)(ii)	Code of Conduct	Breaches of the Code of Conduct	Impose sanctions for breaches of the Code of Conduct	PS Act s15(1)
(/ (/ /)				
9.6 s22(1)(a)(ii)	Code of Conduct	Suspension from duties	Suspend an employee from duty, with or without remuneration, review the suspension, end the suspension and have due regard to procedural fairness	PS s14

10. Red	cruitment and Engagen	nent					
10.1	Assignment of duties	Assignment of duties	Determine the duties of an employee and the place or places at which the duties are to be performed	An employee cannot be assigned duties at a lower classification without their consent, other than in exceptional circumstances. These circumstances are described in subsection 23(4) of the Public Service Act 1999 (PS Act).	PS Act	s25	
10.2	Advertising	Limit Vacancies to APS employees only	Decide that because of reasons of cost or operational efficiency, a non-SES vacancy should be filled by a person who is already an APS employee with the vacancy notified in the Public Service Gazette (APSJobs) as open only to current APS employees		APSCD	d25(4)	
10.3	Affirmative measures	Aboriginal and Torres Strait Islander employment	As an affirmative measure, identify a vacancy as open only to Aboriginal and/or Torres Strait Islander persons		APSCD	d31(1)	
10.4	Affirmative measures	Disability employment	As an affirmative measure, identify a vacancy as only open to persons who have a disability or a particular type of disability		APSCD	d33(1)	
10.5	Engagement	Engagement of employees	Engage a person as either an ongoing or non-ongoing employee	Subject to the person being an Australian Citizen	PS Act	s22(1) & (2)	
10.6	Engagement	Non-ongoing employment	Engage a person for a specified term, or for the duration of a specified task in accordance with s22(2)(b) of the PS Act		PS Regs	s13	
10.7	Engagement	Non-ongoing employment	Enter into an agreement with a State or Territory, or an authority of a State or Territory, to engage a person as a non-ongoing APS employee for a specified term.		PS Regs	s13	
10.8	Engagement	Extend non-ongoing employment past 18 months	Extend or further extend the engagement of a non-ongoing Employee if: (a) there is a continuing need for the duties to be performed; and (b) the person engaged is performing the duties satisfactorily or better; and (c) the delegate is satisfied that: (i) it is still appropriate for the duties to be performed on a non-ongoing basis; and (ii) the extension, or further extension, will contribute to efficient and effective organisational performance.	The total period of engagement (including any extension), must not exceed 3 years.	APSCD	d(27)(2)	

	Item	Section	Subject	Description	Conditions/Limitations	Main Source	Section
	10.9	Engagement	Ongoing to non-ongoing	Engage an ongoing APS employee as a non-ongoing in certain circumstances as described in direction 29 of the APSCD.		APSCD	d29
	10.10	Engagement	Redundancy benefit recipients	Engage a redundancy benefit recipient as an ongoing APS/SES employee or a non-ongoing SES employee.		APSCD	d66(1)
	10.11	Engagement	Engagement of a parliamentary service employee	Engage an ongoing parliamentary service employee as an ongoing employee (if the person is to be employed at a comparable classification or lower)		APSCD	d36
S	22(1)(a)(ii))					

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10.16 s22(1)(a)(ii)	Engagement	Extension of non-ongoing employment	Extend the specified period of non-ongoing employment		PS s13
10.18	Engagement	Overseas engagement	Engage persons overseas to perform duties overseas as employees (locally engaged employees)	PS	Act s74(1)
10.19	Movement	Transfer at Level	Agree in writing to the (ongoing or temporary) movement of an APS employee	PS	Act s26(1)
10.20	Movement	Between agencies	Agree to a date of effect for a voluntary movement between agencies	AP	SCD d46(2)
10.21	Movement	Code of Conduct	Agree to the movement of an employee taking effect prior to a suspected breach of the Code of Conduct matter being resolved	AP	SCD d46(5) & (6)
10.22 s22(1)(a)(ii	Movement)	Date of effect of promotion	Agree to the promotion of an employee taking effect prior to a suspected breach of the Code of Conduct matter being resolved	AP	SCD d47(1)

N.	

10.31	Independent Selection Advisory Committee (ISAC)	Constitution of an ISAC	Nominate a person to participate as a member of an ISAC	PS Regs	s62
10.32 s22(1)(a)(i	Organisational structure	Position creation and occupancy	Create positions and nominate employees to occupy these positions	PS Act	s77(1) & (2)



							s22(1)(a)(ii)	s22(1)(a)(ii)
lten	Section	Subject	Description	Conditions/Limitations	Main Source	Section		A D

13. Review of actions s22(1)(a)(ii)

S	13.2 322(1)(a)(ii	Primary review	Internal Review	Review the action, attempt to resolve the employee's concerns and confirm, vary or set aside the action or substitute a new action	PS egs	s41
	13.4	Primary review	Provision of a review of actions application	Give the application and documents relating to a primary review of action to the MPC and copy the affected employee	PS egs	s44
	13.5	Review of actions	Provision of information for a review of actions	Provide, to the MPC, information and/or documents relevant to a review	PS egs	s48
	13.6	Review of actions	Recommendations from a review of actions	Consider and make decisions about recommendations received from the MPC regarding a review of actions	PS egs	s46
	14. Sep	paration of employment				

14.2 s22(1)(a)(ii)	Termination of employment	Termination of employment	By notice in writing, terminate the employment of an APS employee in the department	- Subject to limitations set out in 29(3) of the PS Act for ongoing employees. - All delegates must consult with and have endorsement by People Branch before exercising this delegation.	PS Act	s29(1)	
14.4	Termination of employment	Voluntary move (at level) to another agency	Agree in writing to the (ongoing or temporary) movement of an employee to another agency		PS Act	s26(1)	
15. Other s22(1)(a)(ii)							

15.5	Disclosure of information	Disclosure of information	Authorise an employee to disclose information about which the employee has official knowledge ³	PS Regs	s7
s22(1)(a)(ii)					

³ Official Information – Information obtained or generated in connection with APS employment that if disclosed could be prejudicial to the effective working of government, including the formulation and implementation of policies and program. Instrument of Delegation and Authorisation for Human Resource Matters



Instrument of Delegation and Authorisation for Human Resource Matters

December 2023

- I, Ms Meghan Quinn, Secretary of the Department of Industry, Science and Resources (the department), make this instrument of delegation and authorisation under:
- a) subsection 78(7) of the Public Service Act 1999;
- b) subsection 105(3) of the Public Service Regulations 2023;
- c) subclause 69(1) of the Australian Public Service Commissioner's Directions 2022;
- d) sub-rule 13(1) of the *Public Service Classification Rules 2000*;

s22(1)(a)(ii)

Subject to this instrument, I:

- a) delegate to any person from time to time holding the classification or performing the duties of a position identified in the Human Resources Delegation Matrix (the Matrix), those powers and functions assigned in the Matrix to a particular classification or position; and
- b) revoke all previous human resource delegations.

The exercise of delegations described is subject to any directions or guidelines issued by me by instrument in writing, and the protocols outlined in Annexure 1.

s22(1)(a)(ii)

Commencement

This instrument takes effect on the date it is signed by me. s22(1)(a)(ii)

Meghan Quinn Secretary Department of Industry, Science and Resources

Date: 2012/2023

Instrument of Delegation and Authorisation for Human Resource Matters

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Annexure 1—Delegation protocols

Delegations are the means by which decision makers can exercise the power to undertake certain statutory functions.

A delegation is the conferral of the ability to exercise a power or duty to a person or body from a person or body that is vested with the responsibility to exercise that power or duty.

The department's employees are employed under the *Public Service Act 1999* (Cth) (PS Act) as employees of the Australian Public Service (APS)

As an Agency Head under section 20 of the PS Act, the Secretary has all the rights, duties and powers of an employer in respect of APS employees in the department. Section 78 of the PS Act enables the Agency Head to delegate those powers.

The attached delegations are given on the understanding that:

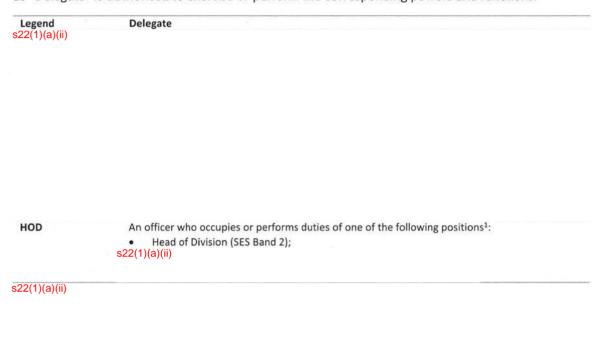
- The exercise of these delegations will at all times be in accordance with approved policies, practices and procedures of the department and/or as required in accordance with legislation, regulations and/or instructions from central agencies with appropriate authority to make those instructions; and
- Any requirements and/or limits specified in the delegations schedule are met/observed.

Delegates are accountable for the delegations that they exercise but should impress upon recommending employees that those employees are personally accountable for ensuring the quality and appropriateness of the recommendations and for ensuring that all relevant facts and other relevant considerations have been set out for the delegate so that the decision taken is fully informed and appropriate.

Human Resources Delegations Legend

Delegation and Authorisation

Subject to this instrument, each officer who occupies or performs the duties of the category shown as "Delegate" is authorised to exercise or perform the corresponding powers and functions.



Source of Power

Legend	Main Source
APSCD	Australian Public Service Commissioner's Directions 2022
s22(1)(a)(ii)	

PS Act	Public Service Act 1999	
PSCR	Public Service Classification Rules 2000	
PS Regs	Public Service Regulations 2023	
22(1)(a)(ii)		

s22(1)(a)(ii

 $^{^{1}}$ Can only exercise those powers for employees up to and including the classification one level below the delegate's equivalent classification.



Item	Section	Subject	Description	Conditions/Limitations	Main Source	Section	
1. Clas	sifications						
s22(1)(a)							
1.2	Classification structure	Classification of position	Allocate, in writing, the creation or re-classification of an APS or Executive Level position based on the work value of the duties.		PSCR	cr9	
s22(1)((a)(ii)						
1.4	Classification structure	Classification of duties	Allocate a classification to each group of duties and ensure the duties include a requirement to undergo training, if a training classification is allocated		PSCR	cr9(1) & 9(3)	
1.5	Classification structure	Classification of duties in a broadband	Allocate more than one classification to the group of duties (a broadband), if the group of duties involves value requirements applying to more than one classification		PSCR	cr9(4)	
2(1)(a)(ii)							
1.8	Classification of employees	Classifications of employees	Allocate an approved classification to each employee		PSCR	cr6(1)	
1.9	Classification of employees	Classification of employees on movement	Allocate to an employee, who moves under s26 of the PS Act, the classification allocated to the employee immediately before moving, or another classification in the same group		PSCR	cr7(3)	
1.10	Classification of employees	Movement from a training classification	Allocate to an employee a classification mentioned in column 3 of schedule 2 of the Classification Rules that relates to the employee's training classification, upon satisfactory completion of the training requirements		PSCR	cr11(1)	
1.11	Classification of employees	Reduction in classification	Reduce the classification of an employee, without the employee's consent	Section 23(4) of the PS Act prescribes the circumstances where this delegation can be exercised	PS Act	s23(4)	
s22(1)(a)(ii)						

s22(1)(a)(ii)

s22(1)(a)(ii)

s22(1)(a)(ii)

	s22(1)(a)(ii)
Section	

Item	Section	Subject	Description	Conditions/Limitations	Main Source	Section
			(a) for the purposes of section 13 of the Governor-General Act 1974; or (b) for the purposes of section 13 or 20 of the Members of Parliament (Staff) Act 1984.	in this circumstance must be approved If an APS employee who has been		
8.38	Other leave	Return to work	Arrange for the APS employee to return to the department as soon as practicable; and arrange for the APS employee to undertake duties at the employee's classification immediately before the employee was granted leave without pay or, if the classification no longer exists, at an equivalent classification	granted leave without pay to undertake or continue employment for the purposes mentioned in paragraph (1)(a) or (b) of the APSCD notifies the department, in writing,	APSCD	D67(2)
22(4)(5)(::\			that he or she wishes to return to the department to undertake duties	398	

s22(1)(a)(ii)

10.1	Recruitment	Vacant positions	Determine that a specified group of duties needs to be performed, and it is appropriate to consider engaging a person to perform those duties, promoting an APS employee to perform the duties, or assigning the duties to an APS employee, for the purposes of establishing a vacancy exists.		APSCD	D7
			Approve a vacancy to be filled through a merit based process, including approval of external advertising, approval of the recruitment selection report and use of subsequent merit lists.			
0.2	Recruitment	Merit processes	For the avoidance of doubt, this also includes approval of use of merit lists to fill a similar vacancy, approval of a shorter period of time for notification of the vacancy, and obtaining approval from the APS Commissioner before notifying a specified vacancy or class of vacancy (where required by the Commissioner).	*	APSCD	D23, 24 & 25
0.3	Assignment of duties	Assignment of duties	Determine the duties of an employee and the place or places at which the duties are to be performed	An employee cannot be assigned duties at a lower classification without their consent, other than in exceptional circumstances. These circumstances are described in subsection 32(4) of the Public Service Act 1999 (PS Act).	PS Act	s25
0.4	Recruitment	Similar vacancies	Agree with another Agency Head that a vacancy is a similar vacancy (for the purpose of APSCD D9).		APSCD	D9
0.5	Advertising	Limit Vacancies to APS employees only	Decide that because of reasons of cost or operational efficiency, a non-SES vacancy should be filled by a person who is already an APS employee, and not to provide an opportunity to all eligible members of the community to apply.	This does not apply to APS1 or training classification vacancies.	APSCD	D25(4)
0.6	Affirmative measures	Aboriginal and Torres Strait Islander employment	As an affirmative measure, identify a vacancy as open only to Aboriginal and/or Torres Strait islander persons in a manner that is compliant with the requirements of section 31.		APSCD	D31
0.7	Affirmative measures	Disability employment	As an affirmative measure, identify a vacancy as only open to persons who have a disability or a particular type of disability in a manner that is compliant with the requirements of APSCD section 33.		APSCD	D33
8.0	Engagement	Engagement of employees	Engage a person as either an ongoing or non-ongoing employee	Subject to the person being an Australian Citizen	PS Act	s22(1) & (2)
0.9	Engagement	Non-ongoing employment	Engage a person for a specified term, or for the duration of a specified task in accordance with s22(2)(b) of the PS Act		PS Regs	s13
0.10	Engagement	Non-ongoing employment	Enter into an agreement with a State or Territory, or an authority of a State or Territory, to engage a person as a non-ongoing APS employee for a specified term.		PS Regs	s13
0.11	Engagement	Engage or extend non-ongoing employment using a modified modified process	Subject to the conditions of APSCD section 27, and S333E(1) of the Fair Work Act 2009, being satisfied, engage a person on a non-ongoing basis using a modified merit process for an initial period of no more than 18 months and extend up to a maximum period of 3 years.	S.333E(1) of the Fair Work Act 2009 limits employment periods using fixed-term contracts. From 6 December 2023, the initial period is limited to 12 months, and can be	APSCD	D27 (1) and (2)

s22(1)(a)(ii	١

							S2	22(1)(a)(ii)	s22(1)(a)(ii)
It	em	Section	Subject	Description	Conditions/Limitations	Main Source	Section		НОВ
					extended once for a further duration (to a maximum of 18 months total).				
10	0.12	Engagement	Notifying the community	Ensure that notification of short-term (18 months or less) and irregular and intermittent vacancies are notified to the community.		APSCD	D27(3)		127
10	0.13	Engagement	Ongoing to non-ongoing	Engage an ongoing APS employee as a non-ongoing in certain circumstances as described in direction 29 of the APSCD.		APSCD	D29		Ø
10	0.14	Engagement	Redundancy benefit recipients	Decide that the engagement of a person who has received a redundancy benefit is essential for the agency's operations and obtain the approval of and consult with the APS Commissioner before engaging the person as necessary.		APSCD	D66(1)		2
10	0.15	Engagement	Engagement of a parliamentary service employee	Engage an ongoing parliamentary service employee as an ongoing employee (if the person is to be employed at a comparable classification or lower)		APSCD	D36		Ø
s22(1)(a)(i	i)								

10.21	Engagement	Overseas engagement	Engage persons overseas to perform duties overseas as employees (locally engaged employees)	PS Act	574(1)
10.22	Movement	Transfer at Level	Agree in writing to the (ongoing or temporary) movement of an APS employee	PS Act	s26(1)
10.23	Movement	Between agencies	Agree to a date of effect for a voluntary movement between agencies	APSCD	D46(2)
s22(1)(a)(ii)					



10.33	Independent Selection Advisory Committee (ISAC)
10.34	Organisational structure

Constitution of an ISAC Position creation and occupancy

Nominate a person to participate as a member of an ISAC

Create positions in the department, and nominate any APS employee to occupy a position in the Department.

HR EL2/EL1/5-6- may only exercise delegation in relation to area of delegate's functional responsibility PS Act s77(1) & (2)

Item	Section	Subject	Description
10.35	Promotion Review Committee (PRC)	Constitution of Promotion Review Committee (PRC)	Nominate a person to participate as a member of a PRC
s22(1)(a)(ii)			

s22(1)(a)(ii)

Conditions/Limitations	Main Source	Section
having regard to the duties that the delegate is performing.		*
	PS . Regs	s26



Section

Subject

Description

Conditions/Limitations

Main

Section

s22(1)	view of actions (a)(ii)					APPLE DE LA	s22(1)(a)(ii)
13.2	Primary review	Internal Review	Review the action, attempt to resolve the employee's concerns and confirm, vary or set aside the action or substitute a new action		PS Regs	s41	
s22(1))(a)(ii)						
13.4	Primary review	Provision of a review of actions application	Give the application and documents relating to a primary review of action to the MPC and copy the affected employee		PS Regs	s44	
13.5	Review of actions	Provision of information for a review of actions	Provide, to the MPC, information and/or documents relevant to a review		PS Regs	s48	
13.6	Review of actions	Recommendations from a review of actions	Consider and make decisions about recommendations received from the MPC regarding a review of actions		PS Regs	s46	
s22(1)(paration of employment					4-	
14,2 2(1)(a)(Termination of employment	Termination of employment	By notice in writing, terminate the employment of an APS employee in the department	29(3) of the PS Act for ongoing employees. All delegates must consult with and have endorsement by People Branch before exercising this delegation.	PS Act	s29(1)	
14.4	Termination of employment	Voluntary move (at level) to another agency	Agree in writing to the (ongoing or temporary) movement of an employee to another agency		PS Act	s26(1)	
15.01							A .
22(1)(a) (")						
15.4	Disclosure of information	Disclosure of information	Authorise an employee to disclose information about which the employee has official knowledge ³		PS Regs	s7	

³ Official Information – Information obtained or generated in connection with APS employment that if disclosed could be prejudicial to the effective working of government, including the formulation and implementation of policies and program.

Instrument of Delegation and Authorisation for Human Resource Matters

Table	2: Code of	Conduct Deleg	s22(1)(a)(ii)	s22(1)(a)(ii)				
Item	Section	Subject	Description	Conditions/Limitations	Main Source	Section		НОВ
16. Code	of Conduct			ALTERNATION OF THE REAL PROPERTY.	STORE			
16.1	Code of Conduct	Breaches of the Code of Conduct	Impose sanctions for breaches of the Code of Conduct		PS Act	s15(1)		Ø
s22(1)(a)	(ii)							
16.6	Code of Conduct	Suspension from duties	Suspend an employee from duty, with or without remuneration, review the suspension, end the suspension and have due regard to procedural fairness		PS Regs	s14		Ø

Make arrangements for APS employees to undergo a program of training about integrity within specified timeframes from time of engagement

s22(1)(a)(ii)

16.7

Probation

Mandatory Integrity Training

APSCD

D19